

## Sets

A **set** is a collection or group of objects or *elements* or *members*. (Cantor 1895)

- A set is said to *contain* its elements.
- There must be an underlying universal set  $U$ , either specifically stated or understood.

Notation:

- list the elements between braces:

$$S = \{a, b, c, d\} = \{b, c, a, d, d\}$$

- specification by predicates:

$$S = \{x | P(x)\},$$

$S$  contains all the elements from  $U$  which make the predicate  $P$  true.

- brace notation with ellipses:

$$S = \{\dots, -3, -2, -1\},$$

the negative integers.

## Common Universal Sets

- $\mathbb{R}$  = reals
- $\mathbb{N}$  = natural numbers =  $\{0, 1, 2, 3, \dots\}$ , the *counting* numbers
- $\mathbb{Z}$  = integers =  $\{\dots, -3, -2, -1, 0, 1, 2, 3, 4, \dots\}$
- $\mathbb{Q}$  = rationals =  $m/n$ , where  $m, n \in \mathbb{Z}, n \neq 0$

Note already that some sets are *infinite* ...

Notation:

$x$  is a member of  $S$  or  $x$  is an element of  $S$ :

$$x \in S$$

$x$  is not an element of  $S$ :

$$x \notin S$$

## Subsets

**Definition:** The set  $A$  is a *subset* of the set  $B$ , denoted  $A \subseteq B$ , iff

$$\forall x : x \in A \Rightarrow x \in B$$

**Definition:** The *void* set, the *null* set, the *empty* set, denoted  $\emptyset$ , is the set with no members.

Note:

- the assertion  $x \in \emptyset$  is always false.
- $\emptyset$  is a subset of every set.
- A set  $B$  is always a subset of itself.

**Definition:** If  $A \subseteq B$  but  $A \neq B$  then we say  $A$  is a *proper subset* of  $B$ , denoted  $A \subset B$  (in some texts).

**Definition:** The set of all subsets of a set  $A$ , denoted  $\wp(A)$ , is called the *power set* of  $A$ .

Example: If  $A = \{a, b\}$  then:

$$\wp(A) = \{\emptyset, \{a\}, \{b\}, \{a, b\}\}$$

## Cardinality

**Definition:** The number of (distinct) elements in  $A$ , denoted  $|A|$ , is called the *cardinality* of  $A$ .

If the cardinality is a natural number (in  $\mathbb{N}$ ), then the set is called *finite*, otherwise it is *infinite*.

Example:

$$A = \{a, b\}$$

$$|\{a, b\}| = 2$$

$$|\wp(\{a, b\})| = 4$$

$A$  is finite and so is  $\wp(A)$ .

Useful Fact:  $|A| = n$  implies  $|\wp(A)| = 2^n$

$\mathbb{N}$  is infinite since  $|\mathbb{N}|$  is not a natural number. It is called a *transfinite cardinal number*.

## Operations on Sets

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**Union:**  $A \cup B = \{x : x \in A \vee x \in B\}$

$A = \{a, b, c\}$ ,  $B = \{c, d, e\}$ ,  $A \cup B = \{a, b, c, d, e\}$

**Intersection:**  $A \cap B = \{x : x \in A \wedge x \in B\}$

$A = \{a, b, c\}$ ,  $B = \{c, d, e\}$ ,  $A \cap B = \{c\}$

**Difference:**  $A - B = \{x : x \in A \wedge x \notin B\}$

$A = \{a, b, c\}$ ,  $B = \{c, d, e\}$ ,  $A - B = \{a, b\}$

**Symmetric Difference:**  $A \Delta B = (A - B) \cup (B - A)$

$A = \{a, b, c\}$ ,  $B = \{c, d, e\}$ ,  $A \Delta B = \{a, b, d, e\}$

Sets are *disjoint* if  $A \cap B = \emptyset$ .

**Power Set of  $A$  ( $2^A$ )** is set of all possible subsets of  $A$ , including  $\emptyset$  and  $A$  itself. If  $A = \{a, b, c\}$ , then  $2^A = \{\emptyset, \{a\}, \{b\}, \{c\}, \{a, b\}, \{b, c\}, \{a, c\}, \{a, b, c\}\}$ .

## Pairs, $n$ -Tuples and Relations

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$\langle x, y \rangle$  is the *ordered pair* of  $x$  and  $y$ .

Note:  $\langle x, y \rangle \neq \{x, y\}$  as the latter is unordered.

**Definition:** The Cartesian product of  $A$  with  $B$ , denoted  $A \times B$ , is the set of *ordered pairs*  $\{\langle a, b \rangle \mid a \in A \wedge b \in B\}$

**Example:**

$A = \{a, b\}$

$B = \{1, 2, 3\}$

$A \times B = \{\langle a, 1 \rangle, \langle a, 2 \rangle, \langle a, 3 \rangle, \langle b, 1 \rangle, \langle b, 2 \rangle, \langle b, 3 \rangle\}$

What is  $B \times A$ ?

$A \times B \times A$ ?

If  $|A| = m$  and  $|B| = n$ , what is  $|A \times B|$ ?

## Pairs, $n$ -Tuples and Relations

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Any subset  $R$  of  $A \times B$  is a *binary relation* between  $A$  and  $B$ . Given a binary relation  $R$ , we can associate with it a predicate  $R(x, y)$  which is true iff  $\langle x, y \rangle \in R$ .

Extending pairs to  $n$ -tuples (triples, etc.) is straightforward.

$\langle x_1 \dots x_n \rangle$  is a sequence of ordered  $n$ -tuples.

The Cartesian product of  $n$  sets  $A_1 \dots A_n$  is defined as  $A_1 \times A_2 \times \dots \times A_n = \{ \langle a_1, a_2 \dots a_n \rangle : (a_1 \in A_1) \wedge (a_2 \in A_2) \wedge \dots \wedge (a_n \in A_n) \}$ .

Any subset  $R$  of  $A_1 \times A_2 \times \dots \times A_n$  is an  $n$ -ary *relation* between the  $n$  sets  $A_1 \dots A_n$ . Given an  $n$ -ary relation  $R$ , we can associate with it a predicate  $R(x_1 \dots x_n)$  which is true iff  $\langle x_1 \dots x_n \rangle \in R$ .

## Functions

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A *function* (*mapping*, *map*)  $f$  from set  $A$  to set  $B$  is denoted by  $f : A \rightarrow B$

$f$  associates with each  $x$  in  $A$  one and only one  $y$  in  $B$ .

$A$  is called the *domain* and  $B$  is called the *codomain*.

The *range* of  $f$ , denoted by  $f(A)$ , is the set of all images of points in  $A$  under  $f$ .

Given a binary relation  $R \subseteq A \times B$ , the inverse relation of  $R$  is defined as  $R^{-1} = \{ \langle y, x \rangle : \langle x, y \rangle \in R \}$ . Obviously  $(R^{-1})^{-1} = R$ .

Similarly, given a function  $f : A \rightarrow B$ , it admits an inverse function  $f^{-1} : B \rightarrow A$  if the following identity holds true:  $f(a) = b \Leftrightarrow f^{-1}(b) = a$ .

Note: a binary relation  $R$  always admits a unique inverse relation  $R^{-1}$  while only the injective functions admit an inverse function.

## Injections, Surjections and Bijections

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Let  $f$  be a function from  $A$  to  $B$ .

**Definition:**  $f$  is *one-to-one* (denoted 1-1) or *injective* if  $a \neq b$  implies  $f(a) \neq f(b)$

**Definition:**  $f$  is *onto* or *surjective* if  $f(A) = B$

**Definition:**  $f$  is *bijective* if it is surjective and injective (one-to-one and onto).

**Definition:** Let  $f$  be a bijection from  $A$  to  $B$ . Then the *inverse* of  $f$ , denoted  $f^{-1}$ , is the function from  $B$  to  $A$  defined as  $f^{-1}(y) = x$  iff  $f(x) = y$

**Definition:** Let  $f : B \rightarrow C, g : A \rightarrow B$ . The *composition* of  $f$  with  $g$ , denoted  $f \circ g$ , is the function from  $A$  to  $C$  defined by  $f \circ g(x) = f(g(x))$

## Countability

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**Definition:** If a set has the same cardinality as a subset of the natural numbers  $\mathbb{N}$ , then the set is called *countable*.

If  $|A| = |\mathbb{N}|$ , the set  $A$  is *countably infinite* or *denumerable*. The (transfinite) cardinal number of the set  $\mathbb{N}$  is *aleph null*  $= \aleph_0$ .

If a set is not countable we say it is *uncountable*. The following sets are uncountable (we show later):

- The real numbers in  $[0, 1]$
- $\wp(\mathbb{N})$ , the power set of  $\mathbb{N}$
- The set of functions from  $\mathbb{N}$  to  $\mathbb{N}$

Note: With infinite sets proper subsets can have the same cardinality. This cannot happen with finite sets.

Countability carries with it the implication that there is a *listing* or *enumeration* of the elements of the set.

## Examples

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**Theorem:**  $|A| \leq |B|$  if there is an injection from  $A$  to  $B$ .

**Example:** if  $A$  is a subset of  $B$  then  $|A| \leq |B|$

**Proof:** the function  $f(x) = x$  is an injection from  $A$  to  $B$

**Theorem:**  $|A| = |B|$  iff there is a bijection from  $A$  to  $B$

**Example:**  $|\mathbb{E}| = |\mathbb{N}|$ , where  $\mathbb{E}$  is the set of even integers (even though  $\mathbb{E}$  is a proper subset of  $|\mathbb{N}|$ )

**Proof:** Let  $f(x) = 2x$ . Then  $f$  is a bijection from  $\mathbb{N}$  to  $\mathbb{E}$ :

0	1	2	3	4	5	6	...
↑	↑	↑	↑	↑	↑	↑	
↓	↓	↓	↓	↓	↓	↓	
0	2	4	6	8	10	12	...