

# Syntax-directed translation

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*The compilation process is driven by the syntactic structure of the program as discovered by the parser*

Semantic routines:

- interpret meaning of the program based on its syntactic structure
- two functions:
  - finish analysis by deriving context-sensitive information
  - begin synthesis by generating the IR or target code
- associated with individual productions of a context free grammar or subtrees of a syntax tree

# Context-sensitive analysis

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What context-sensitive questions might the compiler ask?

1. Is  $x$  scalar, an array, or a function?
2. Is  $x$  declared before it is used?
3. Are any names declared but not used?
4. Which declaration of  $x$  does this reference?
5. Is an expression *type-consistent*?
6. Does the dimension of a reference match the declaration?
7. Where can  $x$  be stored? (heap, stack, ...)
8. Does  $*p$  reference the result of a `malloc()`?
9. Is  $x$  defined before it is used?
10. Is an array reference *in bounds*?
11. Does function `foo` produce a constant value?
12. Can  $p$  be implemented as a *memo-function*?

These cannot be answered with a context-free grammar

# Context-sensitive analysis

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Why is context-sensitive analysis hard?

- answers depend on values, not syntax
- questions and answers involve non-local information
- answers may involve computation

Several alternatives:

*abstract syntax tree*  
(*attribute grammars*)

specify non-local computations  
automatic evaluators

*symbol tables*

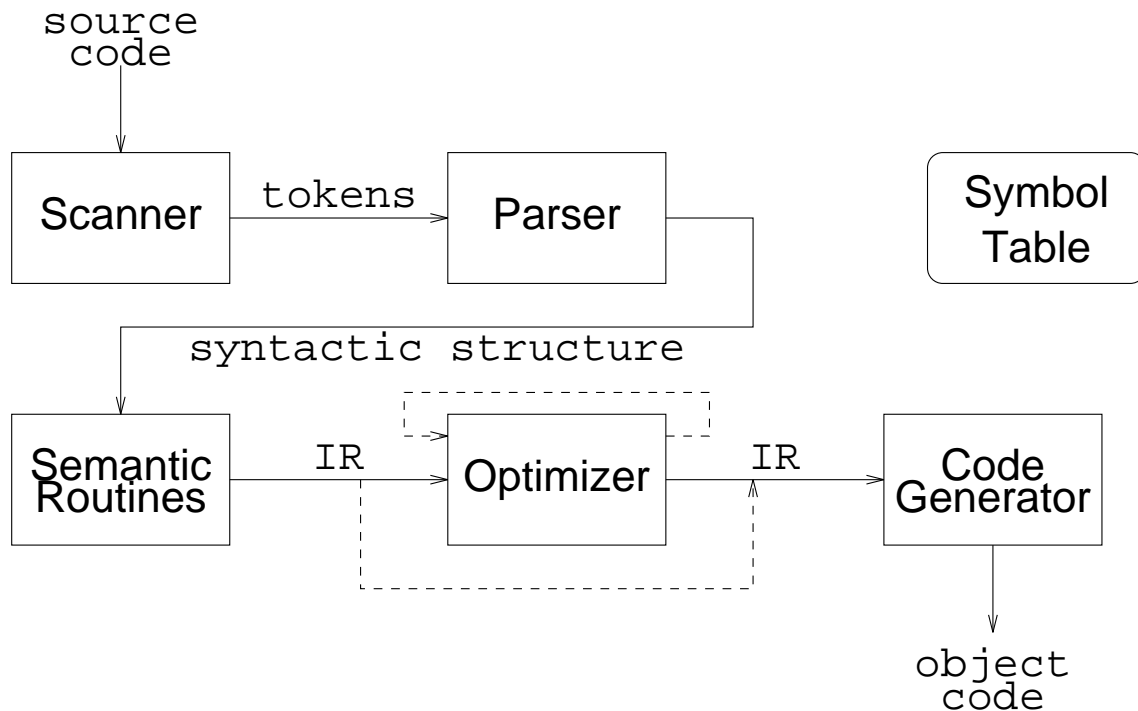
central store for facts  
express checking code

*language design*

simplify language  
avoid problems

# Alternative organizations for syntax-directed translation

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- one-pass analysis and synthesis
- one-pass compiler plus peephole
- one-pass analysis and IR synthesis plus code generation pass
- multipass analysis
- multipass synthesis
- language-independent and retargetable compilers

# One-pass compilers

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- interleave scanning, parsing, checking, and translation
- no explicit IR
- generates target machine code directly  
emit short sequences of instructions at a time on each parser action (symbol match for predictive parsing/LR reduction)  
⇒ little or no optimization possible (minimal context)

Can add a *peephole optimization* pass

- extra pass over generated code through window (*peephole*) of a few instructions
- smoothes “rough edges” between segments of code emitted by one call to the code generator

# One-pass analysis/IR synthesis plus code generation

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Generate explicit IR as interface to code generator

- linear – e.g., tuples
- code generator alternatives:
  - one tuple at a time
  - many tuples at a time for more context and better code

## Advantages

- back-end independent from front-end
  - ⇒ easier retargetting

IR must be expressive enough for different machines

- add optimization pass later (multipass synthesis)

# Multipass analysis

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Historical motivation: constrained address spaces

Several passes, each writing output to a file

1. scan source file, generate tokens (place identifiers and constants directly into symbol table)
2. parse token file  
generate *semantic actions* or linearized parse tree
3. parser output drives:
  - declaration processing to symbol table file
  - semantic checking with synthesis of code/linear IR

# Multipass analysis

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Other reasons for multipass analysis (omitting file I/O)

- language may require it – e.g., declarations after use:
  1. scan, parse and build symbol table
  2. semantic checks and code/IR synthesis
- take advantage of tree-structured IR for less restrictive analysis: scanning, parsing, tree generation combined, one or more subsequent passes over the tree perform semantic analysis and synthesis

# Multipass synthesis

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Passes operate on linear or tree-structured IR

Options

- code generation and peephole optimization
- multipass transformation of IR: machine-independent and machine-dependent optimizations
- high-level machine-independent IR to lower-level IR prior to code generation
- language-independent front ends (first translate to high-level IR)
- retargettable back ends (first transform into low-level IR)

e.g., GNU C compiler (gcc):

- language-dependent parser builds language-independent trees
- trees drive generation of machine-independent low-level Register Transfer Language for machine-independent optimization
- thence to target machine code and peephole optimization

# Intermediate representations

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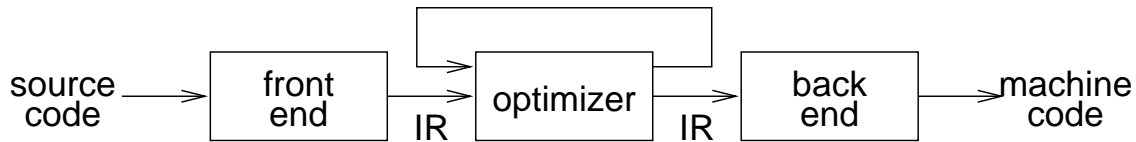
Why use an intermediate representation?

1. break the compiler into manageable pieces  
good software engineering technique
2. allow a complete pass before code is emitted  
lets compiler consider more than one option
3. simplifies retargeting to new host  
isolates back end from front end
4. simplifies handling of “poly-architecture” problem  
 $m$  lang's,  $n$  targets  $\Rightarrow m + n$  components (myth)
5. enables machine-independent optimization general  
techniques, multiple passes

An intermediate representation is a compile-time data structure

# Intermediate representations

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Generally speaking:

- front end produces IR
- optimizer transforms that representation into an equivalent program that may run more efficiently
- back end transforms IR into native code for the target machine

# Intermediate representations

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Representations talked about in the literature include:

- abstract syntax trees (AST)
- linear (operator) form of tree
- directed acyclic graphs (DAG)
- control flow graphs
- program dependence graphs
- static single assignment form
- 3-address code
- hybrid combinations

# Intermediate representations

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## Important IR Properties

- ease of generation
- ease of manipulation
- cost of manipulation
- level of abstraction
- freedom of expression
- size of typical procedure
- original or derivative

Subtle design decisions in the IR have far reaching effects on the speed and effectiveness of the compiler.

Level of exposed detail is a crucial consideration.

# Intermediate representations

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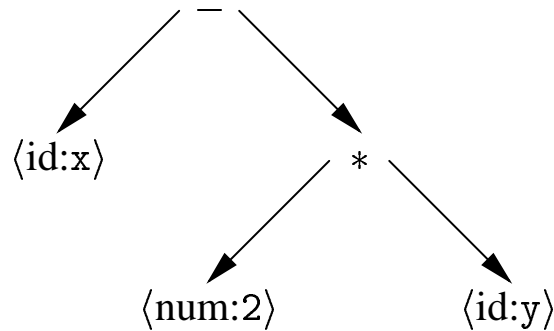
Broadly speaking, IRs fall into three categories:

- Structural
  - structural IRs are graphically oriented
  - examples include trees, DAGs
  - heavily used in source to source translators
  - nodes, edges tend to be large
  
- Linear
  - pseudo-code for some abstract machine
  - large variation in level of abstraction
  - simple, compact data structures
  - easier to rearrange
  
- Hybrids
  - combination of graphs and linear code
  - attempt to take best of each
  - example would be CFG

# Abstract syntax tree

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An abstract syntax tree (AST) is the procedure's parse tree with the nodes for most non-terminal symbols removed.



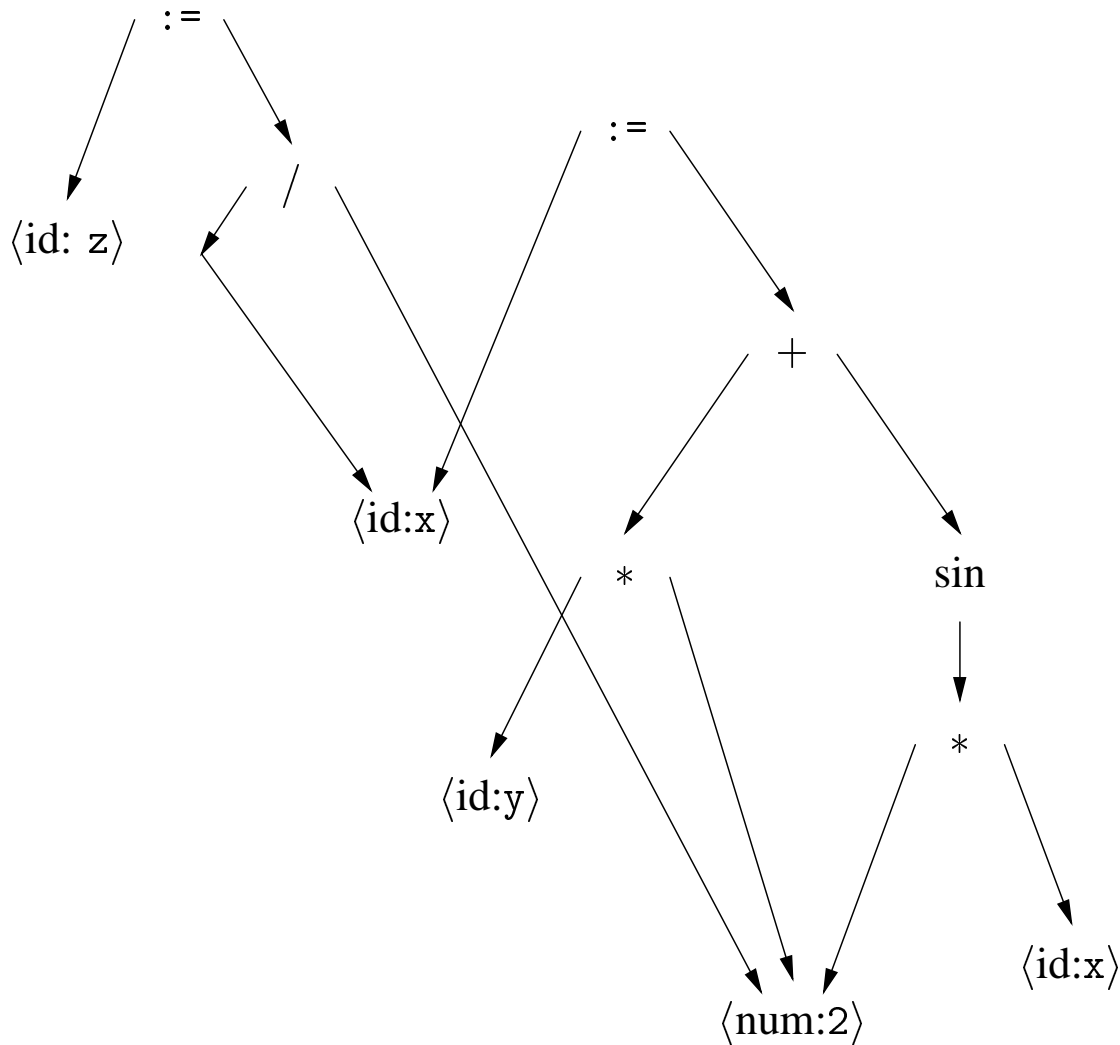
This represents "x - 2 \* y".

For ease of manipulation, can use a linearised form of the tree.

x 2 y \* - in postfix form

# Directed acyclic graph

A directed acyclic graph (DAG) is an AST with a unique node for each value.



```
x := 2 * y + sin(2 * x)
```

```
z := x / 2
```

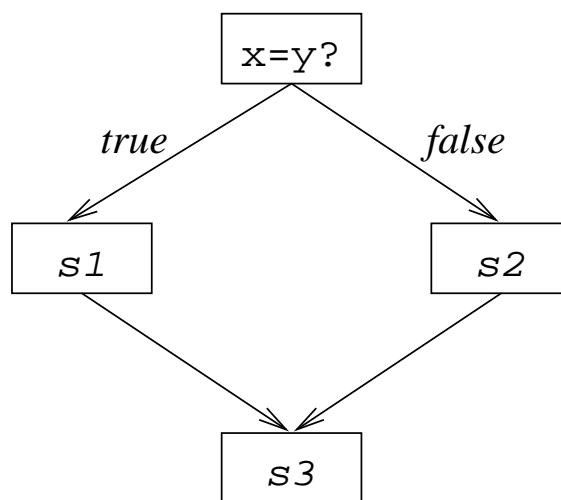
# Control flow graph

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The control flow graph (CFG) models the transfers of control in the procedure

- nodes in the graph are *basic blocks*  
straight-line blocks of code
- edges in the graph represent control flow loops,  
if-then-else, case, goto

```
if (x=y) then
  s1
else
  s2
s3
```



## 3-address code

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3-address code is a term used to describe a variety of representations.

In general, they allow statements of the form:

$$x = y \text{ op } z$$

with a single operator and, at most, three names.

Simpler form of expression:

$$x - 2 * y$$

becomes

$$t1 = 2 * y$$
$$t2 = x - t1$$

### Advantages

- compact form (direct naming)
- names for intermediate values

Can include forms of prefix or postfix code

## 3-address code

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Typical statement types include:

1. assignments  
x = y op z
2. assignments  
x = op y
3. assignments  
x = y[i]
4. assignments  
x = y
5. branches  
goto L
6. conditional branches  
if x relop y goto L
7. procedure calls  
param x and call p
8. address and pointer assignments

## 3-address code

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### Quadruples

$x - 2 * y$				
(1)	load	t1	y	
(2)	loadi	t2	2	
(3)	mult	t3	t2	t1
(4)	load	t4	x	
(5)	sub	t5	t4	t3

- simple record structure with four fields
- easy to reorder
- explicit names

## 3-address code

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### Triples

$x - 2 * y$			
(1)	load	y	
(2)	loadi	2	
(3)	mult	(1)	(2)
(4)	load	x	
(5)	sub	(4)	(3)

- use table index as implicit name
- require only three fields in record
- harder to reorder

## 3-address code

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### Indirect Triples

$$x - 2 * y$$

	stmt		op	arg1	arg2
(1)	(100)	(100)	load	y	
(2)	(101)	(101)	loadi	2	
(3)	(102)	(102)	mult	(100)	(101)
(4)	(103)	(103)	load	x	
(5)	(104)	(104)	sub	(103)	(102)

- list of 1st triple in statement
- simplifies moving statements
- more space than triples
- implicit name space management

## Other hybrids

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An attempt to get the best of both worlds.

- graphs where they work
- linear codes where it pays off

Unfortunately, there appears to be little agreement about where to use each kind of IR to best advantage.

For example:

- PCC and FORTRAN 77 directly emit assembly code for control flow, but build and pass around expression trees for expressions.
- Many people have tried using a control flow graph with low-level, three address code for each basic block.

# Intermediate representations

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This isn't the whole story

Symbol table:

- identifiers, procedures
- size, type, location
- lexical nesting depth

Constant table:

- representation, type
- storage class, offset(s)

Storage map:

- storage layout
- overlap information
- (virtual) register assignments

## Semantic actions

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Parser must do more than accept/reject input; must also initiate translation.

*Semantic actions* are routines executed by parser for each syntactic symbol recognized.

Each symbol has associated *semantic value* (e.g., parse tree node).

Recursive descent parser:

- one routine for each non-terminal
- routine returns semantic value for the non-terminal
- store semantic values for RHS symbols in local variables

Question: What about a table-driven LL(1) parser?

Answer:

- maintain explicit *semantic stack* separately from parse stack
- actions push results and pop arguments

## LL parsers and actions

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How does an LL parser handle actions?

Expand productions *before* scanning RHS symbols:

- push actions onto parse stack like other grammar symbols
- pop and perform action when it comes to top of parse stack

```
push EOF
```

```
push Start Symbol
```

```
token = next_token()
```

```
repeat
```

```
    pop X
```

```
    if X is a terminal or EOF then
```

```
        if X == token then
```

```
            token = next_token()
```

```
        else error()
```

```
    else if X is an action
```

```
        perform X
```

```
    else /* X is a non-terminal */
```

```
        if  $M[X, \text{token}] == X \rightarrow Y_1 Y_2 \dots Y_k$  then
```

```
            push  $Y_k, Y_{k-1}, \dots, Y_1$ 
```

```
        else error()
```

```
until X == EOF
```

# LR parsers and action symbols

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What about LR parsers?

Scan entire RHS before applying production, so:

- cannot perform actions until entire RHS scanned
- can only place actions at very end of RHS of production
- introduce new marker non-terminals and corresponding productions to get around this restriction

$$A \rightarrow w \text{ action } \beta$$

becomes

$$\begin{aligned} A &\rightarrow M \beta \\ M &\rightarrow w \text{ action} \end{aligned}$$

# Action-controlled semantic stacks

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## Approach:

- stack is managed explicitly by action routines
- actions take arguments from top of stack
- actions place results back on stack

## Advantages:

- actions can directly access entries in stack without popping (efficient)

## Disadvantages:

- implementation is exposed
- action routines must include explicit code to manage stack

## Alternative: *abstract semantic stacks*

- hide stack implementation behind push, pop interface
- accessing stack entries now requires pop (and copy to local var.)
- still need to manage stack within actions  
⇒ errors

# LR parser-controlled semantic stacks

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Idea: let parser manage the semantic stack

LR parser-controlled semantic stacks:

- parse stack contains already parsed symbols
- maintain semantic values in parallel with their symbols
- add space in parse stack or parallel stack for semantic values
- *every* matched grammar symbol has semantic value
- pop semantic values along with symbols

⇒ LR parsers have a very nice fit with semantic processing

# LL parser-controlled semantic stacks

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## Problems:

- parse stack contains predicted symbols, not yet matched
- often need semantic value after its corresponding symbol is popped

## Solution:

- use separate semantic stack
- push entries on semantic stack along with their symbols
- on completion of production, pop its RHS's semantic values

# LL parser-controlled semantic stacks: implementation

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Keep 4 indices:

LHS      points to value for LHS symbol

RHS      points to value for first RHS symbol  
 $n^{th}$  RHS symbol is at  $RHS+n-1$

current   points to RHS symbol being expanded

top        points to free entry on top of stack

Add new parse stack symbol EOP (end of production): contains indices to be restored upon completion of production

# The modified skeleton LL parser

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```
LHS = -1; RHS = -1; current = 0; top = 1
push EOF; push Start Symbol
token = next_token()
repeat
    pop X
    if X is a terminal or EOF then
        if X == token then
            sem_stack[current++] = token's semantic value
            token = next_token()
        else error()
    else if X is an action
        perform X
    else if X is EOP
        restore LHS, RHS, current, top
        current++ /* move to next symbol of prev. prod. */
    else /* X is a non-terminal */
        if M[X,token] ==  $X \rightarrow Y_1 Y_2 \dots Y_k$  then
            push EOP(LHS, RHS, current, top)
            push  $Y_k, Y_{k-1}, \dots, Y_1$ 
            LHS = current
            RHS = top
            top = top + k
            current = RHS
        else error()
until X == EOF
```

# Attribute grammars

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Idea: attribute the syntax tree

- can add attributes (*fields*) to each node
- specify equations to define values
- can use attributes from parent and children

Example: to ensure that constants are immutable:

- add *type* and *class* attributes to expression nodes
- rules for production on `:=` that
  1. checks that `LHS.class` is *variable*
  2. checks that `LHS.type` and `RHS.type` are consistent or conform

# Attribute grammars

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To formalize such systems, Knuth introduced *attribute grammars*:

- grammar-based specification of tree attributes
- value assignments associated with productions
- each attribute uniquely, locally defined
- label identical terms uniquely

Can specify context-sensitive actions with attribute grammars

Example:

PRODUCTION	SEMANTIC RULES
$D \rightarrow T L$	$L.in := T.type$
$T \rightarrow \mathbf{int}$	$T.type := \mathbf{integer}$
$T \rightarrow \mathbf{real}$	$T.type := \mathbf{real}$
$L \rightarrow L_1, \mathbf{id}$	$L_1.in := L.in$ $\text{addtype}(\mathbf{id.entry}, L.in)$
$L \rightarrow \mathbf{id}$	$\text{addtype}(\mathbf{id.entry}, L.in)$

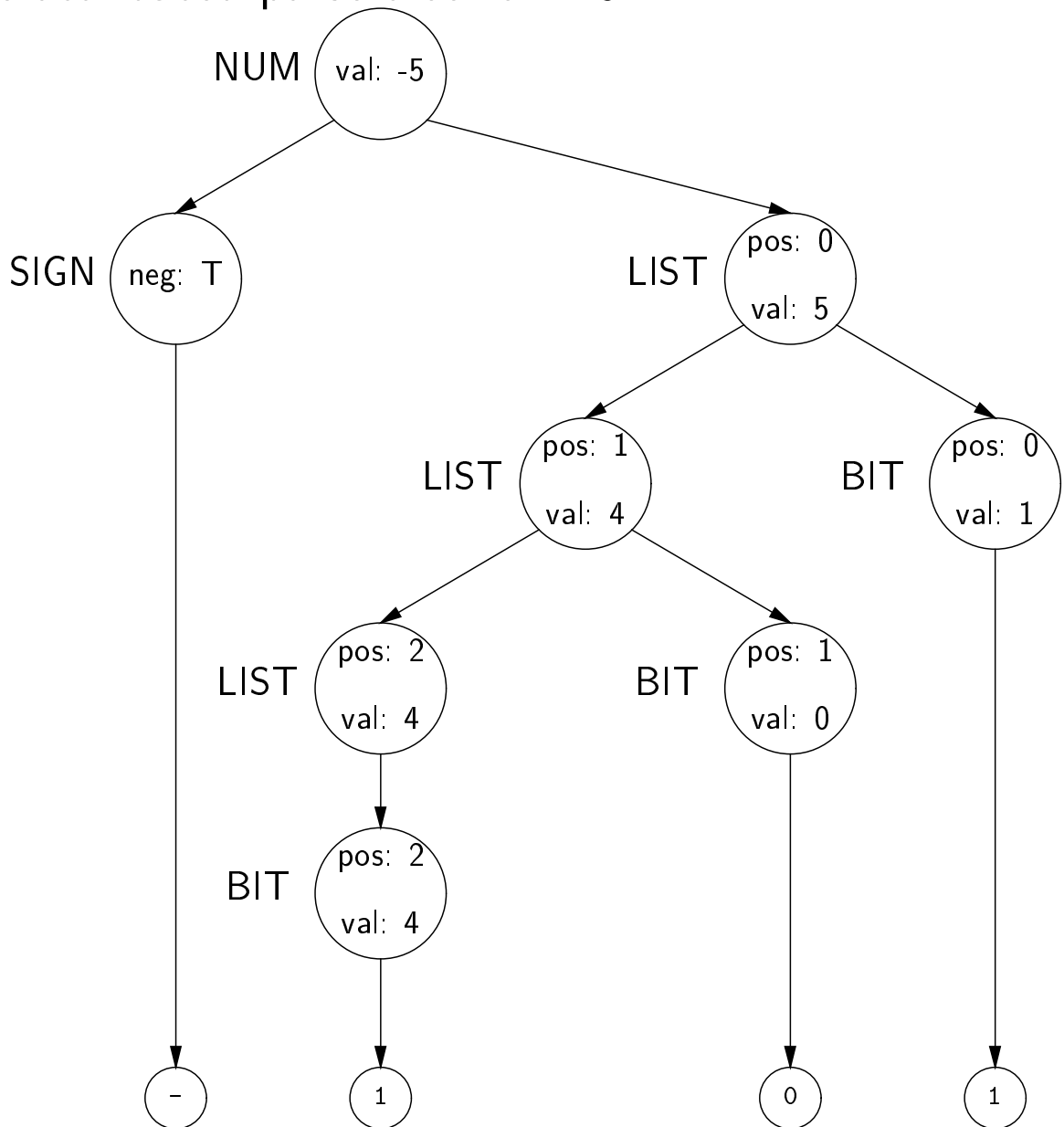
## Example: A grammar to evaluate signed binary numbers

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PRODUCTION	SEMANTIC RULES
NUM $\rightarrow$ SIGN LIST	LIST.pos := 0 if SIGN.neg NUM.val := -LIST.val else NUM.val := LIST.val
SIGN $\rightarrow$ +	SIGN.neg := false
SIGN $\rightarrow$ -	SIGN.neg := true
LIST $\rightarrow$ BIT	BIT.pos := LIST.pos LIST.val := BIT.val
LIST $\rightarrow$ LIST <sub>1</sub> BIT	LIST <sub>1</sub> .pos := LIST.pos + 1 BIT.pos := LIST.pos LIST.val := LIST <sub>1</sub> .val + BIT.val
BIT $\rightarrow$ 0	BIT.val := 0
BIT $\rightarrow$ 1	BIT.val := $2^{BIT.pos}$

## Example (continued)

The attributed parse tree for -101:



- *val* and *neg* are *synthetic* attributes
- *pos* is an *inherited* attribute

# Dependences between attributes

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- values are computed from constants & other attributes
- *synthetic attribute* – value computed from children
- *inherited attribute* – value computed from siblings & parent
- *key notion*: induced dependency graph

# The attribute dependency graph

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- nodes represent attributes
- edges represent flow of values
- graph is specific to parse tree
- size is related to parse tree's size
- can be built alongside parse tree

The dependency graph must be acyclic

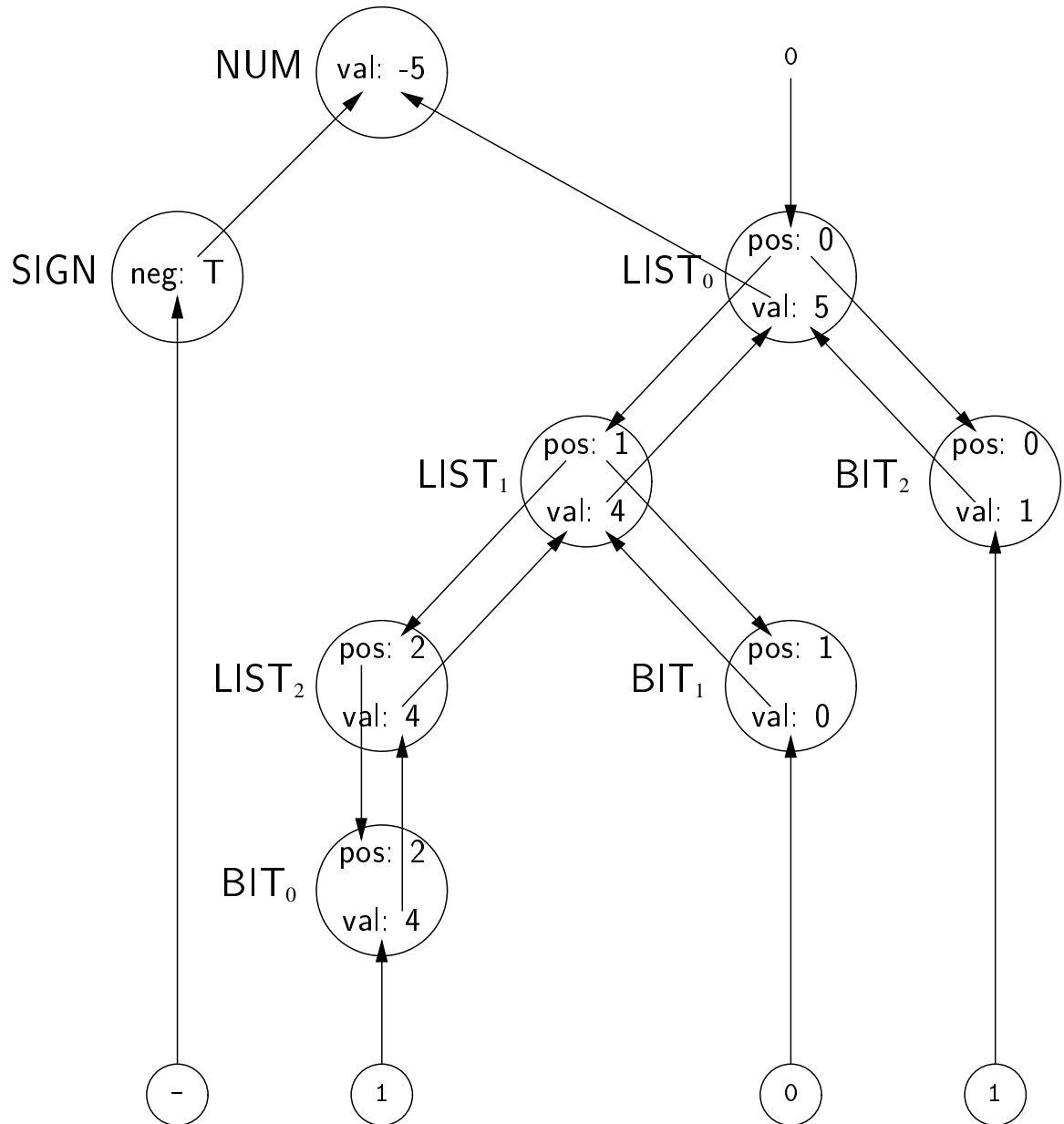
Evaluation order:

- topological sort the dependency graph to order attributes
- using this order, evaluate the rules

The order depends on both the grammar and the input string

## Example (continued)

The attribute dependency graph:



## Example: A topological order

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1. SIGN.neg
2. LIST<sub>0</sub>.pos
3. LIST<sub>1</sub>.pos
4. LIST<sub>2</sub>.pos
5. BIT<sub>0</sub>.pos
6. BIT<sub>1</sub>.pos
7. BIT<sub>2</sub>.pos
8. BIT<sub>0</sub>.val
9. LIST<sub>2</sub>.val
10. BIT<sub>1</sub>.val
11. LIST<sub>1</sub>.val
12. BIT<sub>2</sub>.val
13. LIST<sub>0</sub>.val
14. NUM.val

Evaluating in this order yields NUM.val: -5

# Evaluation strategies

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## Parse-tree methods (dynamic)

1. build the parse tree
2. build the dependency graph
3. topological sort the graph
4. evaluate it (cyclic graph fails)

## Rule-based methods (treewalk)

1. analyse semantic rules at compiler-construction time
2. determine a static ordering for each production's attributes
3. evaluate its attributes in that order at compile time

## Oblivious methods (passes)

1. ignore the parse tree and grammar
2. choose a convenient order (e.g., left-right traversal) and use it
3. repeat traversal until no more attribute values can be generated

# Top-down (LL) on-the-fly one-pass evaluation

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L-attributed grammar: given production  $A \rightarrow X_1 X_2 \dots X_n$

- inherited attributes of  $X_j$  depend only on:
  1. inherited attributes of  $A$
  2. arbitrary attributes of  $X_1, X_2, \dots, X_{j-1}$
- synthetic attributes of  $A$  depend only on its inherited attributes and arbitrary RHS attributes
- synthetic attributes of an action depends only on its inherited attributes

i.e., evaluation order:

$\text{Inh}(A), \text{Inh}(X_1), \text{Syn}(X_1), \dots, \text{Inh}(X_n), \text{Syn}(X_n), \text{Syn}(A)$

This is precisely the order of evaluation for an LL parser

# Bottom-up (LR) on-the-fly one-pass evaluation

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S-attributed grammar:

- L-attributed
- only synthetic attributes for non-terminals
- actions at far right of a RHS

Can evaluate S-attributed in one bottom-up (LR) pass

Inherited attributes: derive values from constants, parents, siblings

- used to express context (*context-sensitive* checking)
- inherited attributes are more “natural”

We want to use both kinds of attribute

- can always rewrite L-attributed LL grammars (using markers and copying) to avoid inherited attribute problems with LR

## Bottom-up evaluation of inherited attributes

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PRODUCTION	SEMANTIC RULES
$D \rightarrow T L$	$L.in := T.type$
$T \rightarrow \mathbf{int}$	$T.type := \text{integer}$
$T \rightarrow \mathbf{real}$	$T.type := \text{real}$
$L \rightarrow L_1, \mathbf{id}$	$L_1.in := L.in$ $\text{addtype}(\mathbf{id.entry}, L.in)$
$L \rightarrow \mathbf{id}$	$\text{addtype}(\mathbf{id.entry}, L.in)$

For copy rules generating inherited attributes value may be found at a fixed offset below top of stack

# Simulating bottom-up evaluation of inherited attributes

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Consider:

PRODUCTION	SEMANTIC RULES
$S \rightarrow aAC$	$C.i := A.s$
$S \rightarrow bABC$	$C.i := A.s$
$C \rightarrow c$	$C.s := g(C.i)$

$C$  inherits synthetic attribute  $A.s$  by copy rule

There may or may not be a  $B$  between  $A$  and  $C$  in parse stack

Rewrite as:

PRODUCTION	SEMANTIC RULES
$S \rightarrow aAC$	$C.i := A.s$
$S \rightarrow bABMC$	$M.i := A.s; C.i := M.s$
$C \rightarrow c$	$C.s := g(C.i)$
$M \rightarrow \epsilon$	$M.s := M.i$

# Simulating bottom-up evaluation of inherited attributes

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Consider:

PRODUCTION	SEMANTIC RULES
$S \rightarrow aAC$	$C.i := f(A.s)$

$C$  inherits  $f(A.s)$ , but not by copying

Value of  $f(A.s)$  is not in the stack

Rewrite as:

PRODUCTION	SEMANTIC RULES
$S \rightarrow aAMC$	$M.i := A.s; C.i := M.s$
$M \rightarrow \epsilon$	$M.s := f(M.i)$

# Bottom-up (LR) on-the-fly one-pass evaluation

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In general, an attribute grammar can be evaluated with one-pass LR if it is LC-attributed:

- L-attributed
- non-terminals in *left corners* have only synthetic attributes
- no actions in *left corners*

Left corners are that part of RHS sufficient to recognize the production, e.g.,  $A \rightarrow \alpha\beta$

LL(1)  $\Rightarrow$  left corner  $\alpha$  is empty

LR(1)  $\Rightarrow$  left corner may be entire RHS (right corner  $\beta$  may be empty)

# Attribute Grammars

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## Advantages

- clean formalism
- automatic generation of evaluator
- high-level specification

## Disadvantages

- evaluation strategy determines efficiency
- increased space requirements
- parse tree evaluators need dependency graph
- results distributed over tree
- circularity testing

Intel's 80286 Pascal compiler used an attribute grammar evaluator to perform context-sensitive analysis.

Historically, attribute grammar evaluators have been deemed too large and expensive for commercial-quality compilers.